



Docket: 6749.05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |   |                 |               |
|-----------------------|---|-----------------|---------------|
| First Named Inventor: | Leonard S. Schultz  |                 |               |
| Application No.:      | 10/827,558  |                 |               |
| Filing Date:          | April 19, 2004  | Examiner:       | Not Yet Known |
| Title:                | METHODS AND APPARATUS FOR IMAGE RECOGNITION AND DICTATION | Group Art Unit: | 2621          |

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this 23rd day of November, 2004.

Sue Theraber

(Signature)

Dear Sir:

Pursuant to 37 CFR § 1.97(b), the references listed on the attached Form PTO-1449 (1 sheet, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. This IDS is being filed before the mailing of a first office action on the merits. In accordance with 37 CFR § 1.97(b), no statement or fee is required.

Copies of the references cited are not enclosed, as allowed under 37 CFR § 1.98(d). Each item on the enclosed Form PTO-1449 was cited to, or cited by, the Office in one or more of the following prior related cases, to which priority to an earlier effective filing date is claimed under 35 U.S.C. § 120, in the present application.

**09/860,728 filed May 18, 2001 now U.S. Patent No. 6,735,329 issued May 11, 2004**

Respectfully submitted,

DORSEY & WHITNEY LLP  
Customer Number 25763

Date: November 22, 2004

By:   
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

|                      |                    |
|----------------------|--------------------|
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| First Named Inventor | Leonard S. Schultz |
| Art Unit             | 2621               |
| Examiner Name        | Not Yet Known      |

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|-------|---|----|---|------------------------|---------|
| Sheet | 1 | of | 3 | Attorney Docket Number | 6749.05 |
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|--|---|----|---|------------------------|--------------------|
| Substitute for form 1449A/PTO  |   |    |   | Application Number     | 10/827,558         |
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| <b>OTHER DOCUMENTS – NON-PATENT LITERATURE DOCUMENTS</b>   |          |  |   |                        |                    |  |
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